

Health Regulation & Licensing Administration

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: CPA-0089	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED 04/09/2019
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NAME OF PROVIDER OR SUPPLIER PCC STRIDE INC	STREET ADDRESS, CITY, STATE, ZIP CODE 3001 BLADENSBURG ROAD NE WASHINGTON, DC 20018
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
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S 000 Initial Comments

S 000

An annual licensure survey was conducted on 04/09/19. The survey findings were based on interview, review of administrative records, as well as personnel records, which included eight employees, five board member records, one foster child record and one foster parent record.

Listed below are abbreviations that appear throughout the body of this report

- BOD - Board of Directors
- CPA - Child Placing Agency
- CPR - Child Protection Register
- ED - Executive Director
- FBI - Federal Bureau of Investigations
- FC - Foster Child
- PD - Program Director

S 011 1602.5 BOARD OF DIRECTORS

S 011

Members of the Board shall be of good character as determined by letters of reference and criminal background investigations.

This CONDITION is not met as evidenced by: Based on interview and record review, the CPA failed to show evidence that comprehensive criminal background checks had been obtained for each member of the BOD for four of five current board members (BOD #1, #2, #3 and #5).

Findings included:

During the entrance conference with the PD on 04/09/19 at 10:10 AM, personnel records were requested for all BOD members of the CPA. The PD indicated that FBI, CPR and local jurisdiction criminal background clearance should be completed upon hire and then every two years

S 011 - Clearances shall be completed for all Board Members by June 15, 2019 to bring them current.

Moving forward, all clearances shall be completed for all Board Members in January of every year or at the first board meeting of each year regardless of whether the clearances on file are expired or not. This will ensure that there are no periods where clearances are expired.

Personnel files of Board Members shall be reviewed periodically; every six months to ensure that clearances are current.

Health Regulation & Licensing Administration
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

A. Veronica Ornelas

TITLE *Executive Director* (X6) DATE *6/3/2019*

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PRINTED: 05/17/2019
FORM APPROVED

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: CPA-0069	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED 04/09/2019
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S 011 Continued From page 1
thereafter.

a. Record review of BOD member #1's personnel record on 04/09/19 at 11:55 AM revealed a local jurisdiction criminal background clearance dated 07/31/15. Interview with the PD at 12:50 PM revealed that BOD member #1's should have had a new local jurisdiction background clearance.

b. Review of BOD member #2's personnel record on 04/09/19 at 11:45 AM revealed a criminal background clearance from the FBI dated 05/11/16 and a criminal background clearance from the local jurisdiction dated 03/22/16.

c. Review of BOD member #3's personnel record on 04/09/19 at 11:45 AM revealed a criminal background clearance from the FBI dated 03/30/16, a criminal background clearance from CPR dated 03/21/16, and a criminal background clearance from the local jurisdiction dated 03/22/16.

d. Review of BOD member #5's personnel record on 04/09/19 at 11:45 AM revealed a criminal background clearance from the FBI dated 05/12/16, a criminal background clearance from CPR dated 03/21/16, and a criminal background clearance from the local jurisdiction dated 12/04/15.

When interviewed at 1:05 PM, the PD indicated that BOD members should have all three criminal background clearances (FBI, CPR and local jurisdiction) conducted in 2018. However, the PD confirmed that there were no updated background clearances as a part of the personnel files for BOD members #1, #2, #3 and #5.

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S 011	<p>Continued From page 2</p> <p>At the time of the survey, the CPA failed to provide evidence that comprehensive background clearances had been obtained for the aforementioned BOD members.</p> <p>This is a repeat deficiency.</p>	S 011	
S 094	<p>1611.1(b) Personnel Records</p> <p>(b) Applicant's educational credentials;</p> <p>This CONDITION is not met as evidenced by: Based on record review and interview, the CPA failed to ensure that each personnel record included a copy of their educational credentials, for one of seven employee records reviewed (Employee #4).</p> <p>Findings included:</p> <p>Review of personnel records on 04/09/19, beginning at 11:55 AM revealed that Employee #4's job description as a Family Support Worker. The minimum requirements for the position was a Bachelor's degree in education, psychology, social work, counseling or related discipline from a University. Continued review of the personnel record, however, failed to show evidence that the PD had obtained Employee #4's educational credentials for verification and inclusion in the employee's file.</p> <p>Interview with the PD on 04/09/19 at 12:15 PM indicated that Employee #4 has a Bachelor's degree and will soon be starting a Social Worker's Master Degree program in the summer or fall 2019. No additional information was shared before the conclusion of the survey.</p>	S 094	<p>S 094 - Employee #4 submitted educational credential on May 31, 2019.</p> <p>Educational credentials shall be collected from job applicants before they may begin work with the provider.</p> <p>Personnel records shall be periodically reviewed; every 3 to 4 months to ensure compliance.</p>

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S 094	Continued From page 3 At the time of the survey, there was no evidence that the CPA consistently obtained copies of educational credentials for inclusion in each employee's file.	S 094		
S 344	1628.14(b) Social Services Related To Child Placing (b) The child's record which shall include the following: (1) Full name; (2) Address and telephone number of birth parents; (3) Race; (3) Sex; (4) Date and place of birth; (5) Nationality; (6) Health including medical history of past generations; (7) Physician's report, illnesses, immunization reports, and dental records; (8) Full names of children, siblings, grandparents and significant others of birth parents; (9) Social security number, if available; (10) Birth history; (11) Developmental history; (12) Birth certificate number; (13) Daily scheduled activities; (14) School records; (15) Dates of placement and address and names of adoptive foster parents; (16) Documents pertaining to the child's legal status; and (17) Summary of family history and an assessment of circumstances leading to the case plan for the child and maintain progress reports. This CONDITION is not met as evidenced by: Based on interview and record review, the CPA failed to ensure that each child's record included a social security card and birth certificate for one of one FC (FC #1). Findings included: On 04/09/19 at 10:26 AM, review of FC #1's	S 344	S 344 Social security card and birth certificates shall be received for FC #1 by June 30, 2019. FC #1 was born in another jurisdiction and it will take time for DC Government (DYRS) to obtain the birth certificate and then use it to request the social security card. The provider must go through DYRS to obtain the records. Provider shall request required documentation from DC Government (DYRS) prior to placement of client(s) in foster home. Provider shall periodically review client records; every month to ensure compliance.	

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record revealed no evidence of a social security card or birth certificate. Further review of the record revealed an email dated 04/05/19 requesting a birth certificate and social security card from the receiving placement's Case Manager. The email communication requested that the documents be sent no later than 04/15/19.

During an interview on 04/09/19 at 11:15 AM, the PD stated that FC #1 was admitted on 04/01/19. During continued interview, the PD indicated that most youth are placed immediately without the proper credentials. There was no evidence that the school record had been obtained.

At the time of the survey, the CPA failed to ensure that all FC records included the required documents.

S 344