STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  CPA-0081		(X2) MULTIPLE CONSTRUCTION A BUILDING:  B. WING		(X3) DATE SURVEY COMPLETED		
(X4) ID PREFIX TAG	(EACH DEFICIENCY	FEMENT OF DEFICIENCIES MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD CROSS-REFERENCED TO THE APPROPE DEFICIENCY)	B€	(X5) COMPLETE DATE
S 108	01/07/19. The surve interviews and the re and 19 Board members and 19 Board members and 19 Board members are able throughout the body CPA - Child Placing CPR - Child Protecti HRG - Human Reso PPD - Purified Protection A child-placing agency (3) character referent to appointment.  This CONDITION is Based on interview a failed to ensure emplicated to ensure emplicated of hire for one of \$18).  Findings included:  On 01/07/19 at 10:38 records revealed that was 12/04/14. The person references from part of the second PM, interview at 3:00 PM, interview	oreviations that appear of this report:  Agency on Registry urces Generalist in Derivative  Ins And Qualifications  Cy shall require at least three ces for each employee prior  Inot met as evidenced by: Ind record review, the CPA loyees' personnel records of reference before their of 26 employees (Employee  AM, review of the personnel Employee #18's date of hire ersonnel record contained previous employers.  With the CPA's HRG yee #18's personnel record	S 108	Corrective Action: The reference for employee's #18 was completed and placed in the respective employee's personnel file on 2/7/19.  Deficiency Prevention Activities: To ensure that receipt of references prior to hire, the Director of Human Resources will review new hire packets prior to employment approval and the commencement of employment activity.  Ongoing Compliance Monitoring: To prevent the reoccurrence of the cited deficiency, the Human Resources team will audit random samples of personnel files monthly to monitor the compliance with required documentation.		Completes on 2/7/19

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Health Regulation & Licensing Administration STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION IDENTIFICATION NUMBER: COMPLETED A. BUILDING: B. WING \_ CPA-0081 01/07/2019 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1438 RHODE ISLAND AVENUE, NE THE NATIONAL CENTER FOR CHILDREN AND WASHINGTON, DC 20018 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION ID (X5) COMPLETE PRÉFIX (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX (EACH CORRECTIVE ACTION SHOULD BE TAG REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE DATE TAG DEFICIENCY) S 109 Continued From page 1 \$ 109 CORRECTIVE S 109 S 109 1612.2 Staff Functions And Qualifications ACTION Each child-placing agency shall require a written Physical Clearance Forms report on the applicant's mental and physical for Employees #16, #17, conditions including addictions which could #19 were completed and adversely affect the applicant's capacity to work placed in each employees' with children. personnel files. Employee #16 physical exam dated 7/17/18 was documented This CONDITION is not met as evidenced by: on an older form. The Based on interview and record review, the CPA NCCF Physical clearance failed to ensure that each employee's personnel Completed form will be the only form record included a written report on his or her physical and mental condition for three of 26 accepted as 1/9/19 employees in the sample (Employees #16, #17 documentation of an and #19). employee's physical and mental health. Employee Findings included: #16 submitted the updated physical from and 1. Review of Employee #16's personnel records competed PPD report on on 01/07/19 at 10:38 AM revealed that the Completed 1/9/19. Employee #17's employee's file contained a physical examination completed and submitted dated 09/14/16 and a PPD reading dated 2/2/19 PPD results on 2/2/19. 07/13/17. Employee #19's physical Interview with the HRG on 01/07/19 at 3:00 PM report was present in her confirmed that the employee had an outdated file and is current until physical examination and PPD test. 12/18/19. Employee #19 completed and submitted 2. Review of Employee #17's personnel records the renewed PPD report on 01/07/19 at 10:38 AM revealed that the on 1/9/19. employee's file did not contain a physical examination. DEFICIENCY Interview with the HRG on 01/07/19 at 3:00 PM PREVENTION confirmed that the employee lacked a current **ACTIVITIES** physical examination. 3. Review of Employee #19's personnel records

on 01/07/19 at 10:38 AM revealed that the

Health Regulation & Licensing Administration STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION IDENTIFICATION NUMBER: COMPLETED A BUILDING: ... CPA-0081 B. WING 01/07/2019 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 1438 RHODE ISLAND AVENUE. NE THE NATIONAL CENTER FOR CHILDREN AND WASHINGTON, DC 20018 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X5)PREFIX (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX (EACH CORRECTIVE ACTION SHOULD BE COMPLETE TAG REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE TAG DATE **DEFICIENCY**) S 109 Continued From page 2 S 109 To prevent the employee's file contained a physical examination reoccurrence of the cited dated 12/18/17, with a PPD test completed on deficiency, the Human 12/20/17 Resource Generalist will Interview with the HRG on 01/07/19 at 3:00 PM track physical confirmed that the employee had an outdated TB/Clearance renewals physical examination and PPD test. for each department and send reminders to staff 60 At the time of the survey, the CPA failed to ensure that all employee files contained a completed, days and 30 days prior to current physical examination. the expiration date. Staff members will be required S 110 1612.3 Staff Functions And Qualifications S 110 to submit Physical/TB clearances to Human Each child-placing agency shall prior to Resources for filing within employment, perform a criminal records check on any person who will have direct contact with the 10 business days prior to children and clients. The child-placing agency the clearance renewal shall ensure that each employee is free of any date. Human Resource conviction or pending charges without a final Generalist will file disposition for the commission of, attempt to Physical/TB clearance commit, or assault with intent to commit any of form in the personnel the following criminal offenses or a criminal offense which is equivalent to any of the record within 48 hours of following: receipt. This CONDITION is not met as evidenced by: In the event the clearance Based on record review and interview, the CPA is not received within 10 failed to ensure that all personnel files contained a completed criminal records check for one of 26 business days of renewal, employees (Employee #2). the Human Resource Generalist will issue a Findings included: pending non-compliance notice and request for Review of personnel records on 01/07/19 at 10:38 AM revealed that Employee #2 was hired resolution to the staff, and on 10/05/18. The record lacked documented their supervisor. evidence of a criminal background check.

Health Regulation & Licensing Administration

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HRG received the reseinformed to 30 d the CP.	an interview evealed that ance was submults had not red that the clears to receive A failed to ob	ge 3 on 01/07/19 at 11:48 AM, the an application for the CPR mitted on 12/12/18, however returned. The HRG was earance results could take up e. At the time of the survey, tain a completed criminal employees prior to the date of	S 110	A secondary notice of failure to ensure compliance with timely submission of Physical/TB exam documentation will be elevated to the senior manager and executive management and may result in a recommendation for disciplinary action.  ONGOING COMPLIANCE MONITORING  The Human Resources Manager will audit random samples of personnel files monthly to monitor the compliance with required documentation and prevent reoccurrence of the cited deficient practice.		

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Health Regulation & Licensing Administration

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION  (X1)		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION A. BUILDING:		(X3) DATE SURVEY COMPLETED	
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S 110	During an interview HRG revealed that a clearance was submithe results had not rinformed that the clear to 30 days to receive the CPA failed to obtain	ge 3 on 01/07/19 at 11:48 AM, the an application for the CPR mitted on 12/12/18, however returned. The HRG was earance results could take up e. At the time of the survey, tain a completed criminal employees prior to the date of	S 110	Staff members will be required to submit CPS clearance form to Human Resources for renewal within 60 business days prior to the clearance renewal date. Human Resource Generalist will file CPS clearance renewals form in the personnel record within 48 hours of receipt.  In the event the clearance is not received within 60 business days of renewal, the Human Resource Generalist will issue a pending non-compliance notice and request for resolution to the staff, and their supervisor. A secondary notice of failure to ensure compliance with timely submission of clearance documentation will be elevated to the senior manager and executive management and may result in a recommendation for disciplinary action.		

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S 110	HRG revealed that a clearance was submitted results had not reinformed that the clear to 30 days to receive the CPA failed to ob-	on 01/07/19 at 11:48 AM, the an application for the CPR nitted on 12/12/18, however returned. The HRG was earance results could take up e. At the time of the survey, tain a completed criminal employees prior to the date of	S 110	ONGOING COMPLIANCE MONITORING  The Human Resources Manager will audit random samples of personnel files monthly to monitor the compliance with required documentation and preven reoccurrence of the cited deficient practice.		