

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: CPA-003	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED 10/28/2014
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NAME OF PROVIDER OR SUPPLIER LUTHERAN SOCIAL SERVICES OF NCA, INC	STREET ADDRESS, CITY, STATE, ZIP CODE 4406 GEORGIA AVENUE NW WASHINGTON, DC 20011
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s 000	<p>Initial Comments</p> <p>An annual licensure survey was conducted on October 28, 2014. The sample sizes were thirteen (13) personnel records based on a census of twenty-six (26), seventeen (17) foster family records based on a census of thirty four (34); and, twenty-one (21) foster child records based on a census of thirty three (33).</p> <p>The survey findings were based on interviews and the review of records.</p> <p>Note: The below are abbreviations that may appear throughout the body of this report.</p> <p>Child Placing Agency - CPA Foster Child - FC Foster Family - FF FACES - FACES.NET (District of Columbia's Statewide Automated Child Welfare System Family and Children Electronic System)</p>	S 000		
S 334	<p>1628.6 Social Services Related To Child Placing</p> <p>Prior to accepting a child for any placement, a child-placing agency shall obtain written consent to place the child from the legally responsible person or entity which may be the birth parent, guardian, or court.</p> <p>This CONDITION is not met as evidenced by: Based on interview and record review, the CPA failed to ensure that each child's record included physician's reports and/or dental records, for three (3) of twenty one (21) foster children in the sample. (FC #1, FC #2, FC #3)</p> <p>The findings include:</p> <p>1. On October 28, 2014, beginning at 11:44 a m.,</p>	S 334	<p>S 334 – LSS will immediately start revising the structure of bi-monthly home visits, to incorporate conversation regarding medical/dental paperwork submission with foster parents. LSS will also develop a "Consent to Release Information Form", that will be completed by LSS staff for all medical/dental appointments. The form will identify the relationship between LSS and the client. It will also contain contact information for a contact person at LSS and a request for copies of all applicable documentation from the appointment. LSS will continue its practice of monthly internal records audits,</p>	12/31/14

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 LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE _____ TITLE _____ (X5) DATE _____

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s 334	<p>Continued From page 1</p> <p>review of FC #1's court report revealed the most recent physical examination was dated July 25, 2014. At 1:05 p.m., the agency's record manager confirmed the physical examination report was not available for review.</p> <p>2. On October 28, 2014, at approximately 2:30 p.m., a listing from FACES (Note: FACES is the online database used by the government and its licensed agencies serving foster children) indicated FC #2 had gone for a physical examination on October 4, 2014 and a dental examination on July 14, 2014. Similarly, there was not a corresponding physical examination or dental reports to reflect the physician's or the dentist's findings, treatments rendered and/or recommendations.</p> <p>3. On October 28, 2014, beginning at 2:50 p.m., review of FC #3's FACES report revealed the most recent physical examination was conducted on April 28, 2014, and the most recent dental examination was conducted on October 8, 2014, however FC #3's record did not reflect the physician's or the dentist's findings, treatments rendered and/or recommendations.</p> <p>On October 28, 2014 at approximately 3:10 p.m., the clinical supervisor revealed that all of the appointment dates for all of the foster children's physical and dental records are captured in FACES. When asked if the agency could retrieve a copy of the physical and dental examinations prior to the end of the survey, the clinical supervisor acknowledged that some of the dental and physical assessments are not in the record and could not be obtained prior to exit. At 4:05 p.m., the records manager indicated that the agency is in the process of revising the records management system, and confirmed that the</p>	S 334	which also checks for applicable medical/dental documentation. Attached are the current dental documents for FC #1 and FC #3, also current medical and dental documentation for FC #2.	

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S 334	Continued From page 2 above mentioned reports would not be available for review prior to the exit.	S 334		
S 481	1640.3(c) Notification Regarding Application (c) Updated medical reports on all members of the household; This CONDITION is not met as evidenced by: Based on record review and interview, the Child-Placing Agency (CPA) failed to ensure that one (1) of the seventeen (17) foster family records reviewed had current medical reports for the five individuals residing in the home. (FF#1) The finding includes: Interview with the Quality Assurance Coordinator during the entrance conference on October 28, 2014, at 9:42 a.m., revealed that the agency required foster families and other individual living in the home to provide an updated physical assessment every two years. Review of FF#1's records on October 28, 2014, beginning at 2:52 p.m., revealed the most current medical assessments for all family members were dated September 13, 2012. On October 28, 2014, at 4:20 p.m., the record manager confirmed that the most recent certificates on file for FF #1 were dated September 13, 2012 and needed to be updated.	S 481	S 481 - LSS has developed an excel spreadsheet that will track the expiration date (every 2 years) for medical clearances for foster families. The spreadsheet has conditional formatting that will alert staff (by changing the cell color) when the expiration date is within 120, 90, 60, 30 days of expiration. When the 120 days to expiration notification is triggered, LSS will issue a written notification to the applicable family (member) reminding them of the expiration date and advising them to get an updated physical. LSS Quality Assurance will monitor the spreadsheet monthly to assess the number of families within 120 days of an item expiring and to determine if timely written notification was issued to the foster family. Attached are the current medical documents for the biological children in the identified home. LSS expects to have the current medical documents for the parents in the identified home by 12/3/14.	12/31/14
S 510	1643.3(b) Supervision Of Children In Foster Homes (b) Obtain age appropriate health supervision for child(ren) in care to include at least annual	S 510		

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s 510	Continued From page 3 medical and dental examinations. This supervision shall include emergency and routine medical care and correction of remedial medical problems of each child. This CONDITION is not met as evidenced by: Based on record review and interview, the CPA failed to ensure that each foster child received an annual medical and/or dental examination, for three (3) of seventeen (17) foster children in the sample. (FC #4, #5, and #6) The findings include: On October 28, 2014, beginning at approximately 10 20 a.m., record review revealed FC #4, #5 and #6 had medical assessments on March 18, 2014. The medical assessments revealed recommendations that FC #4, #5 and #6 be provided updated dental assessments. Continued record review revealed no evidence that the dental appointments were scheduled or completed after that date. On October 28, 2014, at 11:40 a.m., the Quality Assurance Coordinator stated that he would consult the social worker and the records manager to determine the current status of the dental assessments recommended for FC #4, #5, and #6. On October 28, 2014 at approximately 3:10 p.m., the clinical supervisor revealed that all of the appointment dates for all of the foster children's physical and dental records are captured in FACES. When asked if the agency could retrieve a copy of the physical and dental examinations prior to the end of the survey, the clinical supervisor acknowledged that some of the dental and physical assessments are not in the record	S 510 510	S 510 – LSS will immediately start revising the structure of bi-monthly home visits, to incorporate conversation regarding medical/dental paperwork submission with foster parents. LSS will also develop a "Consent to Release Information Form", that will be completed by LSS staff for all medical/dental appointments. The form will identify the relationship between LSS and the client. It will also contain contact information for a contact person at LSS and a request for copies of all applicable documentation from the appointment. LSS will continue its practice of monthly internal records audits, which also checks for applicable medical/dental documentation. Attached please find current dental documentation for FC #4, FC #5, and FC #6.	12/31/14

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S 510	Continued From page 4 and could not be obtained prior to exit. At 4:05 p.m., the records manager indicated that the agency is in the process of revising the records management system, and confirmed that the above mentioned reports would not be available for review prior to the exit.	S 510		