CHECKLIST FOR SUBMITTING DC
NEW LICENSE APPLICATION
Manufacturers, Distributors and Wholesalers (Facilities)

An out-of-state or in state manufacturer, distributor or wholesaler, including a virtual facility, reverse distributor, 3PL, repacker, researcher, warehouse, or any other facility that intends to ship prescription drugs, over-the-counter drugs or controlled substance drugs to or within the District of Columbia must first obtain a DC registration, by submitting the required application(s), fee(s) and supporting documentation, as outlined below. A separate application is required for each location that will ship drug products to or within the District of Columbia.

INCOMPLETE APPLICATIONS OR THOSE SUBMITTED WITH INCORRECT, MISSING, OR EXPIRED DOCUMENTS WILL BE RETURNED VIA US MAIL.

To be considered for a DC Registration, please submit the documents in the checklist below (Pages 1 – 2):

___ $100 (nonresident), $200 (resident) nonrefundable annual application fee in the form of a check or money order, made payable to the DC Treasurer. *(Fee(s) must be submitted with the application)*

___ Completed Drug Manufacture and Distribution Licensure Application dated and signed.

___ Proof of current approval by the US Food and Drug Administration. *(Manufacturers only)*

___ A current dated Certificate of Good Standing from the state where incorporated or where the principal place of business is licensed or located. *(Certificate must be for the current year)*

___ List of the names, titles, addresses and telephone numbers of all corporate officers/partners/owners.

___ A copy of the current home state corporate business license for the location being considered for licensure. *(If a license is not required by the home state, submit the state issued License Exemption Letter and/or the state License Exemption Regulation for the location.)*

___ A detailed description of the activity for which the applicant seeks a DC registration.

___ List of all drug products the applicant proposes to ship to the District of Columbia. *(A large product list can be submitted on a flash drive. The flash drive must be labeled with the company’s name, placed in an envelope, and securely stapled to the application.)*

___ Full copy of the most recent completed state or federal inspection report for the location being considered for a registration, if applicable. *(An inspection report that contains deficiencies must be accompanied by the state or federal re-inspection report and/or the corrective action plan to show all deficiencies noted in the inspection report were corrected.)*
CHECKLIST FOR SUBMITTING DC NEW LICENSE APPLICATION cont’d
Manufacturers, Distributors, Wholesalers (Facilities)

CONTROLLED SUBSTANCE DRUG REGISTRATION

_____ Do you intend to ship Controlled Substance Drugs to the District of Columbia?  ____YES  ____NO

If you checked “yes”, you must also submit all of the documents below:

Schedule II, III, IV and V:

_____ A completed DC Controlled Substance Registration Application.

_____ $130 nonrefundable application fee (check or money order), made payable to the DC Treasurer.

_____ Current US federal DEA Registration for the location being considered for a registration.
  (Submitted Registration cannot be expired.)

_____ List of all Controlled Substance Drugs the applicant intends to ship to the District of Columbia.
  (Large product list can be submitted on a flash drive, labeled with company name.)

Schedule I:

If you intend to ship Schedule I drugs, you must apply on a separate Controlled Substance Registration Application, by submitting the fee and documents below:

_____ A completed DC Controlled Substance Registration Application.

_____ $130 nonrefundable application fee (check or money order), made payable to the DC Treasurer.

_____ Current US federal DEA Registration for the location being considered for a registration.
  (Submitted Registration cannot be expired.)

_____ List of all Controlled Substance Drugs the applicant intends to ship to the District of Columbia.
  (Large product list can be submitted on a flash drive, labeled with company name.)

_____ List of customers (company names/addresses) to which you intend to ship Schedule I drugs.

IMPORTANT INFORMATION: Please submit the application with required nonrefundable fee(s) and all supporting documentation as specified in the checklist. Incomplete applications, those with no fee(s) enclosed, or with expired documents will be returned via regular US mail.

BEFORE MAILING YOUR APPLICATION

Please double check to make sure:

- The documents are submitted in the order in which they appear on the checklist.
- The application is typed or printed clearly and legibly.
- All questions on the application are answered correctly.
- The application is fully completed, dated and signed.
- The fee(s) is accurate, attached, and made payable to the DC Treasurer.
- The name on the DC application is consistent with the name on the home state business license.
- The documents submitted are for the applicant listed on the application and not a contracting company.
- All required documents are valid and attached to the application.
CHECKLIST FOR SUBMITTING DC NEW LICENSE APPLICATION
Manufacturers, Distributors, Wholesalers (Facilities)

BEFORE MAILING YOUR APPLICATION (continued)

RECORD KEEPING: Please make a copy of the completed application(s), payment(s), and all submitted documents for your permanent records.

MAIL TO: c/o DC DOH – PHARMACY DIVISION
P.O. BOX 37803, WASHINGTON, DC 20013

SUBMITTING DOCUMENTS: Each application is considered a stand-alone document. When submitting multiple applications, it is the sole responsibility of the applicant to submit each application with the required fee(s) and document(s), as specified by the checklist.

PROCESSING THE APPLICATION: It takes approximately 90 days to complete a new application, provided the application is submitted correctly, in accordance with the checklist of requirements. Once your application has been processed in our system, it will remain in “pending” status until we are able to secure an approval. Approval is contingent upon the submittal and accuracy of required documents, as outlined on pages 1 – 2 of this document.

DO NOT SEE YOUR APPLICATION IN OUR DATABASE: DC Pharmaceutical Control reviews a large volume of applications daily. If you do not see your application in our verification database, please check the system weekly, or however often you wish, until you see the document in PENDING Status.

REGISTRATION: Once approved, the registration(s) will be mailed via the US Postal Service within 24 – 72 hours to the mailing address specified on the application, if applicable, or to the facility location listed on the application. Once you receive the registration, please make note of the expiration date on the document for renewal purposes.

VERIFICATION: To verify the status of a DC registration, paste the web links below into your web browser.

VERIFICATION LINK FOR FACILITY REGISTRATION:

VERIFICATION LINK FOR CONTROLLED SUBSTANCE REGISTRATION:

DC WEBSITE: License applications, forms, checklists, laws and regulations, and questions and answers are available on the DC government website at https://dchealth.dc.gov/pcd.

REFERENCES:
- D.C. Drug Manufacturer and Distribution Licensure Act (D.C. Law 8-137)
- D.C. Municipal Regulations, Title 22, Chapters 4 and 10

IMPORTANT INFORMATION: Please submit the application with required nonrefundable fee(s) and all supporting documentation, as specified in the checklist and instructions. All DC license applications submitted that are incomplete, incorrect, missing fee(s) or with expired documents will be returned via regular US mail.
DRUG MANUFACTURER AND DISTRIBUTOR LICENSURE APPLICATION

Please type or print clearly in ink and in upper case letters only. Complete all sections and fields of the license application. Attach all documents and nonrefundable fee of $100 (non-resident) or $200 (resident), payable to DC Treasurer. Mail to: DC DOH – PHARMACY – P.O. BOX 37803, Washington, DC 20013.

APPLICATIONS SUBMITTED INCOMPLETE WILL BE RETURNED VIA US MAIL

REPORT FRAUD, WASTE AND ABUSE: To report fraud, waste or abuse within the District government, contact the DC Office of the Inspector General’s hotline by phone at 1-800-521-1639 (toll free) or 202-724-TIPS (8477), by email at hotline.oig@dc.gov, or by TTY at 711. For additional information, visit the office of the Inspector General’s website at oig.dc.gov.

<table>
<thead>
<tr>
<th>Application Type: (Check Below)</th>
<th>Current License Number:</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ New □ Ownership Change □ Name Change □ Location Change</td>
<td>DM____________________</td>
</tr>
<tr>
<td>□ Renewal (Answer all questions on the application, date and sign)</td>
<td>DW____________________</td>
</tr>
</tbody>
</table>

Select type of Business Activity the applicant requests licensure for: (Check Below):

- □ Manufacturer (Provide current proof of FDA Approval)
- □ Distributor
- □ Wholesaler

<table>
<thead>
<tr>
<th>Type of Drug to be shipped: (Check Below)</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Prescription □ Over the Counter (OTC) □ Controlled Substance (as defined by federal law/DEA)</td>
</tr>
<tr>
<td>□ Veterinary Prescription □ Veterinary Over the Counter (VET OTC)</td>
</tr>
</tbody>
</table>

Ownership Type: (Check Below)

- □ Sole Proprietorship
- □ Partnership
- □ Corporation
- □ Limited Liability
- □ Other __________ (Specify)

Applicant Information:

Name of Business (Legal Name)

Street No. Street Name Suite No.

City State Zip

Phone Number Fax Number Business Website Address

Mailing Address for facility, if different from above address:

Street No. Street Name Suite No.

City State Zip
<table>
<thead>
<tr>
<th>Designated Representative for Business: <strong>(required)</strong></th>
<th>Designated License Contact Representative: <strong>(required)</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Name and Title</td>
<td>Name and Title</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>Direct Phone Number</td>
<td>Street No.</td>
</tr>
<tr>
<td></td>
<td>Street Name</td>
</tr>
<tr>
<td></td>
<td>Suite No.</td>
</tr>
<tr>
<td></td>
<td>City/State/Zip</td>
</tr>
<tr>
<td>Email Address</td>
<td>Email Address</td>
</tr>
<tr>
<td></td>
<td>Direct Phone Number</td>
</tr>
</tbody>
</table>

**SUBMIT ALL REQUIRED FEES AND DOCUMENTS WITH THE APPLICATION. APPLICATIONS SUBMITTED INCOMPLETE, OR WITH INCORRECT, EXPIRED OR MISSING FEES OR DOCUMENTS WILL BE RETURNED VIA US MAIL.**

| A. Has the applicant or any other individual listed on the application ever been convicted of a felony related to drugs under DC, state, or federal law, or ever surrendered or had a controlled substances application registration revoked, suspended, or denied? If the applicant is a corporation, association, or partnership, has any officer, partner, stockholder or proprietor been convicted of a felony relating to drugs under DC, state, or federal law or ever surrendered or had a controlled substances application registration revoked, suspended or denied? | □ **YES**  □ **NO** (check one) |
|                                                                                                                                          | Provide detailed explanation on separate sheet if any part of question A is “YES”. **(required)** |

| B. *(For Manufacturers only)*                                                                                               | □ **YES**  □ **NO** (check one) |
|                                                                                                                                     | If “yes”, provide a copy of approval. **(required)** |
|                                                                                                                                     | If “no”, submit a written explanation. **(required)** |
| Does the Manufacturing facility hold current proof of approval from US Food and Drug Administration?                           |                                                                                                       |

| C. Does the Business currently hold a Certificate of Good Standing in the state where it is incorporated? | □ **YES**  □ **NO** (check one) |
|                                                                                                                                     | If “No”, submit a written explanation. **(required)** |
|                                                                                                                                     | If “Yes”, submit current dated Certificate of Good Standing documentation. **(required)** |
|                                                                                                                                     | Submit current Home state business license. **(required)** |

| D. Does the Business intend to ship Controlled Substance drugs into the District of Columbia? *(22 DCMR §22-1002)* | □ **YES**  □ **NO** |
|                                                                                                                                     | If “yes”, submit: |
|                                                                                                                                     | Controlled Substance Registration Application |
|                                                                                                                                     | Valid copy of Drug Enforcement Registration |
|                                                                                                                                     | FEE OF $130. **(required)** |
|                                                                                                                                     | Visit [https://dchealth.dc.gov/pcd](https://dchealth.dc.gov/pcd) to download Application, Forms and DC Laws and Regulations. |
**E.** Does the Business facility provide compounding as a service for their customers?

- ☐ Sterile   ☐ Non Sterile   ☐ Bulk (check all that apply)

**F.** Is the facility registered as a 503B facility with the FDA?

(503B must be registered with the FDA)

- ☐ YES   ☐ NO (check one)

**G.** Has the Business facility undergone an Inspection within the last 5 Years?

- ☐ YES   ☐ NO (check one)

- ✓ If “yes”, provide the most recent Inspection Report. (required)
- ✓ If “No”, provide a written explanation or state license exemption letter and/or law. (required)

**H.** Provide written detailed description of the Business activity for which the applicant seeks a license. (required)

Submit written description on a supplementary sheet.

**I.** Provide District of Columbia resident agent information.

(Go to: www.registered-agent-listings.com)

Type Agent Information here: (required)

Name: __________________________

Address: __________________________

City/State/Zip: __________________________

**J.** Provide the name, address and telephone number of all corporate officers/owners for the business. (required)

Submit information on a supplementary sheet

**K.** Provide a list of all drugs the applicant intends to ship into the District of Columbia (required)

Submit information on a supplementary sheet

---

**Mail Completed Application with all required documents on the checklist, and attach Nonrefundable Registration Fee of $100 (non-resident) or $200 (resident), in the form of check or money order, payable to DC Treasurer.**

Mail to: DOH – PHARMACY DIVISION - P.O. BOX 37803 - WASHINGTON, DC 20013

---

**TO THE APPLICANT (Please Read and Complete all Fields Below)**

Please read this section carefully and completely before signing. A false statement made on this certification requires that the Department proceed immediately to revoke the license, registration or permit for which you are now applying. Additionally, a $1000.00 fine may also be imposed. As required by the CLEAN HANDS ACT OF 1996, this section is required to be completed by the applicant before a license, registration, or permit can be issued. (D.C. Law 11-118, D.C. Official Code §47-2861 et seq).

I, __________________________, certify that as of __________________________, I (applicant) do not owe more than $100.00 to the District of Columbia Government, as a result of: 1) Fine, penalties or interest assessed pursuant to the Litter Control Administration Act of 1985, effective March 25, 1986 (D.C. Code § 6-2901 et seq.); 2) Fines, penalties or interest assessed pursuant to the Illegal Dumping Enforcement Act of 1994, effective May 20, 1994 D.C. Law 10- 117; DC Code § 6-2911 et seq); 3) Fines, penalties or interest assessed pursuant to the Department of Consumer and Regulatory Affair Civil Infractions Act of 1985, effective October 5, 1986 (D.C. Law 6-42; D.C. Code § 6-2701 et seq); or 4) Past due taxes.

I understand that if I knowingly falsify this Certification, the Department will move to revoke the license or permit for which I am applying, and may fine me $1,000.00. I further understand that the Department may conduct an investigation to ascertain the veracity of this certification. I further understand that the completion this Certification is a part of the application and that completing the Certification does not guarantee approval or issuance of a DC license, registration, or permit.

______________________________  ________________________________
Signature of Applicant /Designated Authority  Position Title