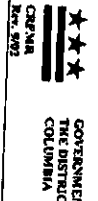




DEPARTMENT OF HEALTH
HEALTH REGULATION & LICENSING
ADMINISTRATION
INTERMEDIATE CARE FACILITIES DIVISION

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION

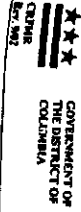
Name of Facility: Serial Family Homes Inc.		Street Address, City, State, ZIP Code: 817 Vermont Street NE Washington, D.C. 20017		Survey Date: February 5 th & 19th 2009	
Regulation Citation Title 22 Chapter 16		Statement of Deficiencies		Ref. No.	
1611.1		<p>Annual licensure inspections were conducted on your agency on February 5th and 19, 2009. The following deficiencies were found at the time of these inspections. The sample size for the personnel record review was thirty one based on the review of ten (10) employee records, eleven (11) foster children's records and the review of ten (10) foster parent records.</p> <p>Personnel Records 1609</p> <p>Each child-placing agency (CPA) shall have a personnel file on each employee, which shall include, but not be limited to, the following:</p> <p>(f) Documentation of any professional licensure</p> <p>Based on record review the CPA failed to enact an effective system to ensure the documentation of any professional licensure for one (1) out of ten (10)</p>		<p>The employee has a Maryland license and has only been assigned Maryland cases. However, her DC license was obtained by this office two days later.</p> <p>All new employees that work with our DC consumers have to have a DC license. Once hired, licensed renewal dates are monitored electronically through a database. When licenses are renewed, the Agency requires each Social Worker to turn in a copy of his or her updated license. This process will continue to be monitored and practiced.</p>	
Theresa Waters, Lawrence Yates		3/13/09		Date Issued	
Name of Inspector		Date Issued		Facility Director/Designee	
				Date	



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INTERMEDIATE CARE FACILITIES DIVISION

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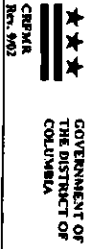
1611.1	<p>employees: (Employee # 9)</p> <p>The findings include:</p> <p>Review of the personnel records on February 19, 2009, at approximately 3:00 PM revealed that the CPA did not have a copy of the professional licensure on file for the licensed social worker.</p> <p>In an interview with the Records Manager on February 19, 2009, at approximately 3:30 PM it was acknowledged that the CPA did not have a copy of the professional licensure on file for the licensed social worker.</p> <p>There was no documented evidence that the CPA had copies of the professional licensure on file for all licensed personnel.</p> <p>(k) Physical examination reports required in section 1612.2:</p> <p>Based on record review the CPA failed to enact an effective system to ensure the documentation of physical examinations for two (2) out of ten (10) employees: (Employee # 2 and Employee # 5)</p>	<p>Employee 5 had documentation of a physical and TB exam in the file dated for July 24, 2008; thus, it does not expire until July 23, 2009. However, employee 2's physical and TB were both outdated. This employee subsequently made an appointment for both.</p> <p>All employee physical and TB dates are kept in a database. This database will be monitored more closely and more frequently to ensure prompt notification of employees regarding the need to schedule their physicals and TBs. We will notify staff at least 45 days ahead of the expiration date.</p>	Ongoing
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16122	<p>The findings include:</p> <p>Review of the personnel records on February 19, 2009, at approximately 3:45 PM revealed that the CPA did not have a copy of the physical examinations on file for the for two out of ten employees. (Employee # 2 and Employee # 5)</p> <p>In an interview with the Records Manager on February 19, 2009, at approximately 3:50 PM it was acknowledged that the CPA did not have copies of the physical examinations for all employees on file.</p> <p>There was no documented evidence that the CPA had copies of the physical examinations for all employees.</p> <p>Staff Functions And Qualifications</p> <p>Each child-placing agency shall require a written report on the applicant's mental and physical conditions including addictions which could adversely affect the applicant's capacity to work with children.</p> <p>Based on record review the CPA failed to enact an effective system to ensure the documentation of the applicant's mental and physical conditions including</p>		
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<p>additions for two (2) out of ten (10) employees. (Employee # 2 and Employee # 5)</p> <p>The findings include:</p> <p>Review of the personnel records on February 19, 2009, at approximately 3:50 PM revealed that the CPA did not have copies of the applicant's mental and physical conditions including additions on file for two out of ten employees. (Employee # 2 and Employee # 5)</p> <p>In an interview with the Records Manager on February 19, 2009, at approximately 3:55 PM it was acknowledged that the CPA did not have copies of the applicant's mental and physical conditions including additions for all employees on file.</p> <p>There was no documented evidence the CPA had copies of the applicant's mental and physical conditions including additions for all employees on file.</p>	<p>Employee 5 had documentation of a physical and TB exam in the file dated for July 24, 2008; thus, it does not expire until July 23, 2009. However, employee 2's physical and TB were both outdated. This employee subsequently made an appointment for both.</p> <p>All employee physical and TB dates are kept in a database. This database will be monitored more closely and more frequently to ensure prompt notification of employees regarding the need to schedule their physicals and TBs. We will notify staff at least 45 days ahead of the expiration date.</p> <p>Ongoing</p>
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